

### Case Study No. 6

**CHALLENGE CONDITIONS:** *B. subtilis* spores added to stopper bowl. 103 cfu's *B. subtilis* recovered from a contact plate after the fill.  $\sim 10^4$  *B. sphaericus* spores inoculated onto fill nozzle block handle—47 CFU's recovered after the fill.

**FILL CONDITIONS:** Two media fills performed during the first week of the course on July 26, 2001.

#### MEDIA FILL -- GROUP 1

Lot No. 072601-1 (Filled in afternoon)  
MEDIA FILL RESULTS

Tray Number	Number Evaluated	Results
1	165	3*
<b>Total</b>	<b>165</b>	<b>3</b>

Incubation Conditions: 35° ± 2° C, 28 days, inverted.  
\* Gram positive rods with spores—*B. subtilis*.

Bulk samples and priming samples were all negative. Pre-filtration bioburden sample was negative.

#### MEDIA GROWTH SUPPORT TEST

Organism	Control Counts (CFU's)			MF Test Samples				Gram Stain
	1	2	Avg	Growth (+)/No Growth (-)				
<i>B. subtilis</i>	82	99	90	+	+	+	+	G + rods
<i>S. aureus</i>	56	62	59	+	+	+	+	G + cocci
<i>P. aeruginosa</i>	37	41	39	+	+	+	+	G - rods
<i>C. albicans</i>	45	47	46	+	+	+	+	Yeast
<i>A. niger</i>	33	39	36	+	+	+	+	Mold

Final Result: Valid Media Fill; Media Fill Fails

#### SURFACE MONITORING

Location Number (NOTE: S = Swab)	Location Identification	Results (CFU's/25 sq. cm.)	Comments
1	Staging Shelves	0	
2	Freeze Dryer Door-1	0	
3	Freeze Dryer Door-2	0	
4	Pass-Thru Door	0	
5S	Entry Door Handle	3	<i>B. sphaericus</i>
6	In-feed Turn Table	0	
7	In-feed Tray Loader	0	
8	Vial Pusher, handle	0	
9S	Fill Nozzle Support	0	
10S	Fill Nozzle	0	
11	Stopper Arm	No Sample	
12	Vial Star-wheel	0	
13	Left Plexiglas Door	0	
14S	Plexiglas Door Handle	0	
15	Control Panel, center bottom	0	
16	Capper Bowl-Outside	0	
17	Stopper Bowl-Outside	0	
18	Stopper Bowl-Inside	6	<i>B. subtilis</i>
19	Plexiglas by Stopper Bowl	0	
20	Inside Curtain (left)	0	
21	EM Cart	0	
22	Conveyor	0	
23	Floor—in front of Filler	0	
24	Floor—in front of stopper bowl	0	
25	Floor—in front of exit door	0	
26	Forceps-Trayer	0	
	Cappers	0	
	Turntable	0	
	Back of Turntable	0	
	Filler	0	

#### MEDIA FILL--GROUP 2

Lot No. 072601-2 (Filled in morning)  
MEDIA FILL RESULTS

Tray Number	Number Evaluated	Number Positive
1	56	1*
<b>Total</b>	<b>56</b>	<b>1</b>

Incubation Conditions: 35° ± 2° C, 28 days, inverted.  
\* Gram positive rods with spores—*B. subtilis*.

Bulk samples and priming samples were all negative. Pre-filtration bioburden samples were negative.

#### MEDIA GROWTH SUPPORT TEST

Organism	Control Counts (CFU's)			MF Test Samples				Gram Stain
	1	2	Avg	Growth (+)/No Growth (-)				
<i>B. subtilis</i>	82	99	90	+	+	+	+	G + rods
<i>S. aureus</i>	56	62	59	+	+	+	+	G + cocci
<i>P. aeruginosa</i>	37	41	39	+	+	+	+	G - rods
<i>C. albicans</i>	45	47	46	+	+	+	+	Yeast
<i>A. niger</i>	33	39	36	+	+	+	+	Mold

Final Result: Valid Media Fill; Media Fill Fails

#### SURFACE MONITORING

Location Number (NOTE: S = Swab)	Location Identification	Results (CFU's/25 sq. cm.)	Comments
1S	Staging Shelves	0	
2	Freeze Dryer Door-1	0	
3	Freeze Dryer Door-2	0	
4	Pass-Thru Door	0	
5S	Entry Door Handle	NS <sup>1</sup>	
6	In-feed Turn Table	0	
7	In-feed Tray Loader	0	
8	Vial Pusher	0	
9S	Fill Nozzle Support	0	
10S	Fill Nozzle	3	<i>B. sphaericus</i>
11	Stopper Arm	0	
12	Vial Star-wheel	0	
13	Left Plexiglas Door (Class 10,000 side)	0	
14S	Plexiglas Door Handle	0	
15	Control Panel	0	
16	Capper Bowl-Outside	0	
17	Stopper Bowl-Outside	0	
18	Stopper Bowl-Inside	NS <sup>1</sup>	
19	Plexiglas by Stopper Bowl	0	
20	Inside Curtain (left)	0	
21	Set-up Cart	0	
22	Conveyor	0	
23	Floor—in front of Filler	0	
24	Floor—in front of stopper bowl	0	
25	Floor—in front of exit door	1	

1 = NS = Not Sampled

#### VIABLE AIR MONITORING

Sample Location	Sampler Type	Volume of Air Sampled	CFU per Sample	CFU per Volume of Air
In-feed Turn Table (by bag set-up) (3:40)	SMA	60 cu. ft.	0	< 0.017/cu. ft.
In-feed Turn Table (by bag set-up) (3:45)	SMA	60 cu. ft.	0	< 0.017/cu. ft.
In-feed Turn Table (4:10)	SMA	60 cu. ft.	0	< 0.017/cu. ft.
Trayer (3:52)	SMA	60 cu. ft.	0	< 0.017/cu. ft.
Between Stopper and Capper bowls (3:52)	SMA	60 cu. ft.	0	< 0.017/cu. ft.
In-feed Turn Table (4:52)	SMA	60 cu. ft.	0	< 0.017/cu. ft.
Trayer (4:52)	SMA	60 cu. ft.	0	< 0.017/cu. ft.
Between Stopper and Capper bowls (4:52)	SMA	60 cu. ft.	0	< 0.017/cu. ft.
In-feed Turn Table (5:50)	SMA	60 cu. ft.	0	< 0.017/cu. ft.
Trayer (5:50)	SMA	60 cu. ft.	0	< 0.017/cu. ft.
Between Stopper and Capper bowls (5:50)	SMA	60 cu. ft.	0	< 0.017/cu. ft.
Pass-through (4:55)	RCS	400L	0	< 0.07/cu. ft.
Staging Rack	RCS	400L	0	< 0.07/cu. ft.
Lyophilizer 1	RCS	400L	0	< 0.07/cu. ft.
Pass-through (6:10)	RCS	400L	0	< 0.07/cu. ft.
Staging Rack	RCS	400L	2	0.14/cu. ft.
Lyophilizer 1	RCS	400L	1	0.07/cu. ft.
Filler Deck	Settling Plate	1 hour	0	--

#### PERSONNEL MONITORING

Initials	FIPS		Sleeves		Chest
	Right	Left	Right	Left	
JC-16:07	0	0	NS <sup>1</sup>	NS	NS
JC-16:21	0	0	NS	NS	NS
NL-16:22	0	0	NS	NS	NS
JC-16:45	0	0	0	0	0
HW-16:47	0	0	NS	NS	NS
NL-16:59	0	0	NS	NS	NS
JG-17:01	0	0	NS	NS	NS
VM-17:22	0	0	NS	NS	NS
HW-17:24	0	0	0	0	0
VM-17:25	0	0	NS	NS	NS
NL-17:26	0	0	NS	NS	NS
VM-17:44	0	0	0	0	19*
LIN-17:50	0	1	0	0	0
JG-18:03	?	?	1	0	0
NA-18:00	0	0	0	1	0
JL-18:08	0	0	1	0	0
MS-18:31	0	0	0	0	0
EG-18:36	0	0	0	0	0
TW-18:38	0	0	0	0	0

1 NS = Not Sampled

#### IDENTIFICATION OF PERSONNEL ISOLATES

All isolates were Gram (+) cocci

#### VIABLE AIR MONITORING

Sample Location	Sampler Type	Volume of Air Sampled	CFU per Sample	CFU per Volume of Air
In-feed Turn Table (by bag set-up) (11:30)	SMA	60 cu. ft.	0	< 0.017/cu. ft.
In-feed Turn Table (11:40)	SMA	60 cu. ft.	0	< 0.017/cu. ft.
Trayer (11:40)	SMA	60 cu. ft.	0	< 0.017/cu. ft.
Stopper Bowl (11:40)	SMA	60 cu. ft.	0	< 0.017/cu. ft.
Pass-through (11:48)	RCS	400 L	0	< 0.07/cu. ft.
Staging Rack (11:28)	RCS	400 L	0	< 0.07/cu. ft.
Lyophilizer 1 (12:00)	RCS	400 L	0	< 0.07/cu. ft.
In-feed Turn Table (13:00)	SMA	60 cu. ft.	0	< 0.017/cu. ft.
Trayer (13:00)	SMA	60 cu. ft.	0	< 0.017/cu. ft.
Stopper Bowl (13:00)	SMA	60 cu. ft.	0	< 0.017/cu. ft.
Pass-through (12:20)	RCS	400 L	0	< 0.07/cu. ft.
Staging Rack (12:12)	RCS	400 L	0	< 0.07/cu. ft.
Filler Deck (11:15)	Settling Plate	1 hr. 45 min.	0	--
Filler Deck (13:00)	Settling Plate	5 min.	0	--

#### PERSONNEL MONITORING

Initials	FIPS		Sleeves		Chest
	Right	Left	Right	Left	
TR-10:15	0	0	0	3	22 <sup>2</sup>
DS1-10:56	0	0	NS <sup>1</sup>	NS	NS
DS1-11:10	0	1 (mold)	NS	NS	NS
DC-11:04	5 <sup>2</sup>	0	NS	NS	NS
DC-11:16	0	2	NS	NS	NS
LH-11:16	0	0	NS	NS	NS
JL-11:21	0	0	NS	NS	NS
LH-11:42	0	0	NS	NS	NS
DL-11:44	0	0	NS	NS	NS
LH-12:06	0	0	NS	NS	NS
LH-12:16	0	0	NS	NS	NS
LH-12:35	0	0	NS	NS	NS
DS1-12:36	0	0	NS	NS	NS
DS2-13:00	2	0	0	0	2
LH-13:05	0	0	0	0	0
JL-13:20	0	0	0	0	0
DC-13:26	0	0	0	0	0
Cori-12:27	0	0	0	0	0
DS1-13:23	0	0	0	0	0

1 NS = Not Sampled

#### IDENTIFICATION OF PERSONNEL ISOLATES

FIPs from DC-11:04, DC-11:16 and DS2-13:00 were all *B. sphaericus*; Chest from DS2-13:00 was Gram (+) cocci.



## Follow-up to Case Study No. 6

**CHALLENGE CONDITIONS:** Inoculated stoppering nest with ~10<sup>4</sup> *B. subtilis* spores.

**FILL CONDITIONS:** One product fill and one media fill performed during the second week of the course August 23, 2001--same students as July 26 session.

### PRODUCT FILL -- GROUP 1 Lot No. 082301-aMGZ69 (Filled in morning) STERILITY TEST RESULTS

Tray Number	Number Evaluated	Results
1	8	
2	8	
3	8	
<b>Total</b>	<b>24</b>	<b>No Growth</b>

**Test Conditions:** All vials reconstituted, pooled and filtered through a Steri-Test System into two canisters. One canister filled with Fluid Thioglycollate Medium, the other with Tryptic Soy Broth (TSB).  
**Incubation Conditions:** Thio incubated at 32 ± 2 °C, 14 days; TSB incubated at 22 ± 2 °C, 14 days. Bulk sterility tests on bulk samples were negative.

#### MEDIA GROWTH SUPPORT TEST

Organism	Control Counts (CFU's)			MF Test Samples				Gram Stain
	1	2	Avg	1	2	3	4	
<i>Cl. sporogenes</i> (Thio)	30	36	33	+	+	+	+	G + rods
<i>S. aureus</i> (Thio)	45	54	50	+	+	+	+	G + cocci
<i>P. aeruginosa</i> (Thio)	36	51	43	+	+	+	+	G - rods
<i>B. subtilis</i> (TSB)	78	75	77	+	+	+	+	G + rods
<i>C. albicans</i> (TSB)	40	52	46	+	+	+	+	Yeast
<i>A. niger</i> (TSB)	51	63	57	+	+	+	+	Mold

**Final Result:** Valid Sterility Test; Product Sterility Test Passes

### SURFACE MONITORING

Location Number (NOTE: S = Swab)	Location Identification	Results (CFU's/25 sq. cm.)	Comments
1S	Staging Shelves	0	S = Swab sample
2	Freeze Dryer Door-1	0	
3	Freeze Dryer Door-2	0	
4	Pass-Thru Door	0	
5S	Entry Door Handle	0	
6	In-feed Turn Table	0	
7	In-feed Tray Loader	0	
8	Vial Pusher	0	
9S	Fill Nozzle Support	0	
10S	Fill Nozzle	0	
11	Stopper Arm	0	
12	Vial Star-wheel	0	
13	Left Plexiglas Door	0	
14S	Plexiglas Door Handle	0	
15	Control Panel	0	
16	Capper Bowl-Outside	0	
17	Stopper Bowl-Outside	0	
18	Stopper Bowl-Inside	Not sampled	
19	Plexiglas by Stopper Bowl	0	
20	Inside Curtain (left)	0	
21	Set-up Cart	0	
22	Conveyor	0	
23	Floor--in front of Filler	0	
24	Floor--by stopper bowl	0	
25	Floor--in front of exit door	0	
26	Pump set-up. Plexiglas door	0	
27	Right Plexiglas door, Class 100 side	0	
28S	Stopper nest	0	
29	Vial pusher-vial contact	0	
30	Transfer arm of Trayer	0	
31	Forceps-turntable front	0	
32	Forceps-turntable back	0	
33	Forceps-Air location 4	0	
34	Forceps-stopper bowl	0	
35	Forceps-trayer	0	
36	Forceps-fill cabinet	0	
37S	Met-1 key pad	0	
38S	RCS key pad	0	
39	Alcohol bottle	0	
40S	Left edge of pass-through	0	
41S	Pass-through entry door handle	0	
42	Inside Plexiglas PT door	0	
43	Gowning bench	0	

### MEDIA FILL--GROUP 2 Lot No. 082301-MF1 (Filled in afternoon) MEDIA FILL RESULTS

Tray Number	Number Evaluated	Number Positive
1	199	0
2	207	0
3	207	0
4	195	0
5	207	0
6	207	0
7	46	0
<b>Total</b>	<b>1268</b>	<b>0</b>

**Incubation Conditions:** 35 ± 2 °C, 14 days, inverted. Bulk samples and priming samples were all negative.

#### MEDIA GROWTH SUPPORT TEST

Organism	Control Counts (CFU's)			MF Test Samples				Gram Stain
	1	2	Avg	1	2	3	4	
<i>B. subtilis</i>	97	85	91	+	+	+	+	G + rods
<i>S. aureus</i>	34	25	30	+	+	+	+	G + cocci
<i>P. aeruginosa</i>	28	21	25	+	+	+	+	G - rods
<i>C. albicans</i>	81	97	89	+	+	+	+	Yeast
<i>A. niger</i>	91	94	93	+	+	+	+	Mold

**Final Result:** Valid Media Fill; Media Fill Passes

### SURFACE MONITORING

Location Number (NOTE: S = Swab)	Location Identification	Results (CFU's/25 sq. cm.)	Comments
1S	Staging Shelves	0	
2	Freeze Dryer Door-1	0	
3	Freeze Dryer Door-2	0	
4	Pass-Thru Door	0	
5S	Entry Door Handle	0	
6	In-feed Turn Table	0	
7	In-feed Tray Loader	0	
8	Vial Pusher	0	
9S	Fill Nozzle Support	0	
10S	Fill Nozzle	0	
11	Stopper Arm	0	
12	Vial Star-wheel	0	
13	Left Plexiglas Door	0	
14S	Plexiglas Door Handle	0	
15	Control Panel	0	
16	Capper Bowl-Outside	0	
17	Stopper Bowl-Outside	0	
18	Stopper Bowl-Inside	0	
19	Plexiglas by Stopper Bowl	0	
20	Inside Curtain (left)	0	
21	Set-up Cart	0	
22	Conveyor	0	
23	Floor--in front of Filler	0	
24	Floor--in front of stopper bowl	0	
25	Floor--in front of exit door	0	
26	Pump set-up. Plexiglas door	0	
27	Right Plexiglas door, Class 100 side	0	
28S	Stopper nest	0	<i>B. subtilis</i>
29	Vial pusher-vial contact side	0	
30	Transfer arm of Trayer	0	
31	Forceps-turntable front	0	
32	Forceps-turntable back	0	
33	Forceps-Air location 4	0	
34	Forceps-stopper bowl	0	
35	Forceps-trayer	0	
36	Forceps-fill cabinet	0	
37S	Met-1 key pad	0	
38S	RCS key pad	0	
39	Alcohol bottle	0	
40S	Left edge of pass-through	0	
41S	PT entry door handle	0	
42	Inside PT Plexiglas door	0	

### VIABLE AIR MONITORING

Set-up of Fill Samples				
Sample Location	Sampler Type	Volume of Air Sampled	CFU per Sample	CFU per Volume of Air
In-feed Turn Table (by bag set-up)	SMA	60 cu. ft.	0	< 0.017/cu. ft.

  

End of Fill Samples				
Sample Location	Sampler Type	Volume of Air Sampled	CFU per Sample	CFU per Volume of Air
Out-feed Trayer	SMA	60 cu. ft.	0	< 0.017/cu. ft.
Stopper Bowl	SMA	60 cu. ft.	0	< 0.017/cu. ft.
In-feed Turn Table	SMA	60 cu. ft.	0	< 0.017/cu. ft.
Pass through	RCS+	1000 liters	0	< 0.07/cu. ft.
Staging rack	RCS+	1000 liters	0	< 0.07/cu. ft.
Lyophilizer 2	RCS+	1000 liters	0	< 0.07/cu. ft.
Gowning room	R2S	60 cu. ft.	0	< 0.017/cu. ft.
Gowning room	R2S	60 cu. ft.	0	< 0.017/cu. ft.
Filler Deck	Settling plate	60 min.	0	--

### PERSONNEL MONITORING

Initials	FIPS		Sleeves		Chest
	Right	Left	Right	Left	
JL	0	0	NS	NS	NS
DAS	0	0	NS	NS	NS
LH	0	0	NS	NS	NS
DAS	0	0	NS	NS	NS
DAS	0	0	NS	NS	NS
DC	0	0	NS	NS	NS
DC	0	0	NS	NS	NS
JL	0	0	NS	NS	NS
DC	0	0	NS	NS	NS
LH	0	0	0	0	0
JB	0	0	0	0	0
Dave S	0	0	0	0	2
DC	0	0	0	0	0
JL	0	0	0	0	0
DRS	0	0	0	0	0
DM	0	0	0	0	0

NS = Not Sampled

#### IDENTIFICATION OF PERSONNEL ISOLATES

Dave S: chest = G(+) cocci; Right sleeve = G(+) cocci & rods.

### VIABLE AIR MONITORING

Set-up and Beginning of Fill Samples				
Sample Location	Sampler Type	Volume of Air Sampled	CFU per Sample	CFU per Volume of Air
In-feed Turn Table (by bag set-up)	SMA	60 cu. ft.	0	< 0.017/cu. ft.

  

End of Fill Samples				
Sample Location	Sampler Type	Volume of Air Sampled	CFU per Sample	CFU per Volume of Air
Out-feed Trayer	SMA	60 cu. ft.	0	< 0.017/cu. ft.
Out-feed Trayer	SMA	60 cu. ft.	0	< 0.017/cu. ft.
Stopper Bowl	SMA	60 cu. ft.	0	< 0.017/cu. ft.
Stopper Bowl	SMA	60 cu. ft.	0	< 0.017/cu. ft.
In-feed Turn Table	SMA	60 cu. ft.	0	< 0.017/cu. ft.
In-feed Turn Table	SMA	60 cu. ft.	0	< 0.017/cu. ft.
Pass through	RCS+	400L	0	< 0.07/cu. ft.
Staging rack	RCS+	400L	0	< 0.07/cu. ft.
Lyophilizer 2	RCS+	400L	0	< 0.07/cu. ft.
Pass through	RCS+	400L	0	< 0.07/cu. ft.

### PERSONNEL MONITORING

Initials	FIPS		Sleeves		Chest
	Right	Left	Right	Left	
JC	0	0	NS	NS	NS
EW	0	0	NS	NS	NS
CNY	0	0	NS	NS	NS
HW	0	0	NS	NS	NS
HW	0	0	NS	NS	NS
HW	0	0	0	0	0
JC	0	0	0	0	0
EG	0	0	0	0	0
NA	0	0	0	0	0
JL	0	0	0	0	0
VM	1	0	0	0	0
TW	0	0	0	0	0
JG	0	0	0	0	0
LNY	0	0	0	0	0
LNY	0	0	0	0	0
JL	0	1	0	0	0

NS = Not Sampled--sampled gloves only



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